

# Constituent, alternative, and yes/no questions as multipolar, bipolar, and monopolar requests for assertions

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# 1 Introduction

## Goals of the talk

- ◆ Introduce a framework of conversation as development of common ground (cf. Stalnaker, Lewis, ...)
- ◆ Common grounds contain the commitments of interlocutors (Commitment States) (cf. Brandom 1983, Farkas & Bruce 2009)
- ◆ New: Common grounds have a **projective** component (Commitment Spaces) that models common ground management (cf. Cohen & Krifka 2014)
- ◆ Questions have an effect on the projective component: they restrict the legal development of the common ground (Krifka 2015)
- ◆ There are **monopolar** questions that project just one legal development; this can be used to model biased questions
- ◆ Proposals for polarity (yes/no) questions, alternative questions, constituent (wh-) questions, question tags.
- ◆ Explanation of **biases** of such questions

The talk is largely based on:

- ◆ Krifka, Manfred. 2015. Bias in Commitment Space Semantics: Declarative questions, negated questions, and question tags. *Semantics and Linguistic Theory (SALT)* 25, 328-345. Washington, D.C.: LSA Open Journal Systems.

## 2 A Framework for Illocutionary Acts

### 2.1 Commitment States (CSt)

Basic assumptions:

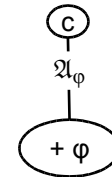
- ◆ Illocutionary acts change commitments of interlocutors
- ◆ Commitments are represented as propositions
- ◆ Commitments accrue during conversation in Commitment States, modeled as sets of commitments

Update of commitment state  $c$  with speech act  $\mathcal{A}_\varphi$  :

- (1)  $c + \mathcal{A}_\varphi = c \cup \{\varphi\}$ ,  
where  $\varphi$ : the commitment introduced by speech act  $\mathcal{A}_\varphi$ .

Requirements for update of commitment states:

- ◆ The proposition  $\varphi$  should not be entailed by  $c$  (redundancy; but: increase of saliency, not modeled here)
- ◆ The proposition  $\varphi$  should be consistent with  $c$  (no blatant inconsistencies)



**Figure 1:** Update of commitment state

## 2.2 Commitment Spaces (CSp)

Commitment state: common ground content.

Common ground management:

Possible continuations of commitment state,  
**Commitment Spaces.**

(2)  $C$  is a commitment space  
 if  $C$  is a set of commitment states,  
 with  $\cap C \neq \emptyset$  and  $\cap C \in C$

- ◆ We call  $\cap C$  the root of  $C$ , and write  $\sqrt{C}$ .
- ◆  $\sqrt{C}$  is the set of propositions that participants have positively committed to.

Update of  $C$  with speech act  $\mathfrak{A}_\varphi$ :

(3)  $C + \mathfrak{A} = \{c \in C \mid \sqrt{C} + \mathfrak{A} \subseteq c\}$

Modeling of **denegation** (Cohen & Krifka 2014):

(4) *I don't promise to come. ( $\neq$  I promise not to come.)*

Update of a commitment space with denegation of  $\mathfrak{A}$ :

(5)  $C + \sim \mathfrak{A} = C - [C + \mathfrak{A}]$

Notice: The root does not change (**meta speech act**).

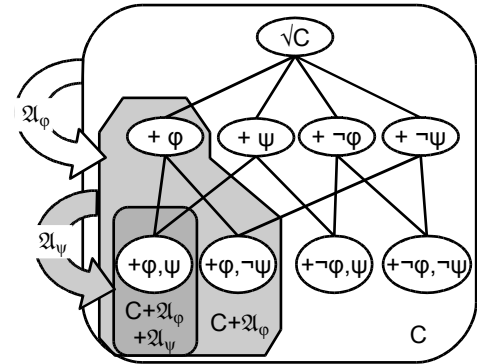


Figure 2: Updates of commitment space

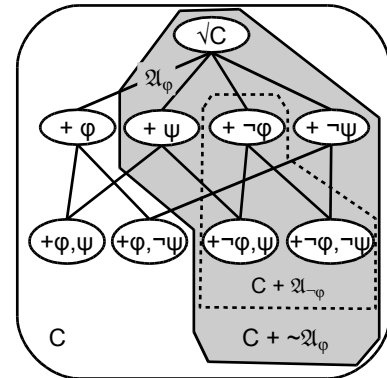


Figure 3:

Update with denegation of  $\varphi$  vs.  $\neg\varphi$

Further operations on Common Grounds:

**Speech act conjunction:**

$$\begin{aligned}
 (6) \quad & C + [\mathfrak{A} \ \& \ \mathfrak{B}] \\
 &= [C + \mathfrak{A}] \cap [C + \mathfrak{B}] \\
 &\approx C + \mathfrak{A} + \mathfrak{B} \quad (\text{except for} \\
 &\approx C + \mathfrak{B} + \mathfrak{A} \quad \text{anaphoric bindings})
 \end{aligned}$$

Always results in a rooted set of commitment states (a Commitment Space)

Speech acts generally can be conjoined (cf. Krifka 2001 for quantification of and conjunction of questions).

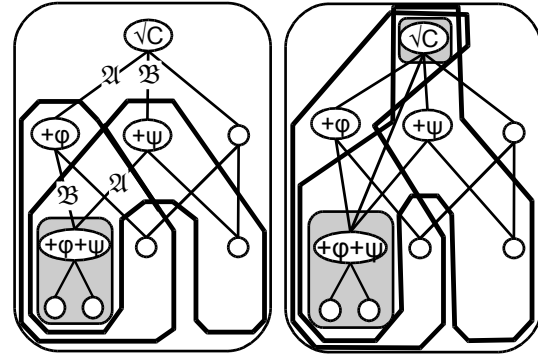
**Speech act disjunction:**

$$\begin{aligned}
 (7) \quad & C + [\mathfrak{A} \ \vee \ \mathfrak{B}] \\
 &= [C + \mathfrak{A}] \cup [C + \mathfrak{B}]
 \end{aligned}$$

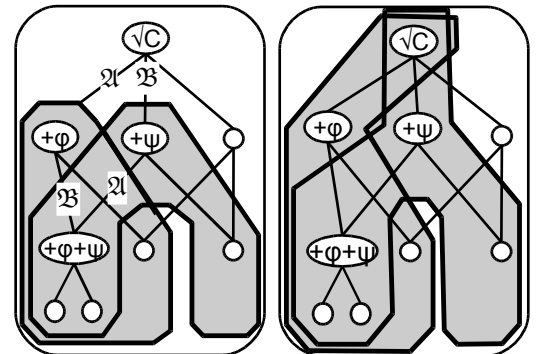
Results in a rooted set for meta speech acts.

Speech acts cannot in general be disjoined, unclear which of the disjuncts is in the root.

Possible resolution: Assume  $+\lceil\varphi \vee \psi\rceil$ , propositional disjunction.



**Figure 4:**  
Conjunction of regular and meta speech acts



**Figure 5:**  
Disjunction of regular and meta speech acts

## 2.3 Commitment Space Developments (CSD)

**Record of the history** of the update by a sequence:

$$(8) \langle C_0, C_1, \dots, C_n \rangle,$$

$C_n$ : the current CSp

Update of a commitment space development:

$$(9) \langle \dots, C \rangle + \mathfrak{A} = \langle \dots, C, C+\mathfrak{A} \rangle$$

Update of a CSD  
with speech act  $\mathfrak{A}$  by actor S:

$$(10) \langle \dots, C^{S'} \rangle +^S \mathfrak{A} = \langle \dots, C^{S'}, [C + \mathfrak{A}]^S \rangle$$

**Rejection** of last update by rejection operator  $\mathfrak{R}$   
(cf. “table” in Farkas & Bruce 2010):

$$(11) \langle \dots, C^*, C^* \rangle +^S \mathfrak{R} = \langle \dots, C^*, C^*, C^S \rangle$$

Updates as functional applications:

$$(12) \begin{aligned} & \text{a. } c + \mathfrak{A}_\phi = \mathfrak{A}_\phi(c), \text{ where } \mathfrak{A}_\phi = \lambda c [c \cup \phi] \\ & \text{b. } C + \mathfrak{A} = \mathfrak{A}(C), \text{ where } \mathfrak{A} = \lambda C \{c \in C \mid \sqrt{C + \mathfrak{A}} \subseteq c\} \\ & \text{c. } \langle \dots, C^* \rangle +^S \mathfrak{A} = \mathfrak{A}^S(\langle \dots, C^* \rangle), \text{ where } \mathfrak{A}^S = \lambda \langle \dots, C^* \rangle \langle \dots, C, [\mathfrak{A}(C)]^S \rangle \\ & \text{d. } \langle \dots, C \rangle +^S \mathfrak{R} = \mathfrak{R}^S(\langle \dots, [C] \dots \rangle), \text{ where } \mathfrak{R}^S = \lambda \langle \dots, C^*, C^* \rangle, \langle \dots, C^*, C^*, C^S \rangle \end{aligned}$$

## 3 Assertions

### 3.1 Assertions as commitments

Proposal: By asserting a proposition, speaker makes a public commitment for the truth of that proposition (cf. e.g. Brandom 1983).

(13)  $S \vdash \varphi$   
'S is publicly committed to the truth of  $\varphi$ '

Alternative proposal: S wants that addressee believes  $\varphi$  (Bach & Harnish 1979).  
Problem:

(14) *Believe it or not, I won the race.*

But: By committing to a proposition  $\varphi$ , S gives addressee a reason to believe  $\varphi$ .

Reason: Committing to false propositions leads to social sanctions, which S tries to avoid.

As the intention that addressee believes the proposition is cancellable, (cf. (14) this is a **conversational implicature**.

General effect of assertion:

(15)  $\langle \dots, C^* \rangle +^{S_1} S_1 \vdash \varphi = \langle \dots, C^*, [C + S_1 \vdash \varphi]^{S_1} \rangle$   
 $= \langle \dots, C^*, \{c \subseteq C \mid \forall C + S_1 \vdash \varphi \subseteq c\}^{S_1} \rangle$

## 3.2 Syntactic structure of assertion

Assertions involve the following projections:

- ◆ Asserted proposition: TP
- ◆ Proposition expressing commitment: CmP
- ◆ Application to CSD (speech act): ActP

Following principles of X-bar-syntax; head raising of finite verb to Cm° or even Act°:

- (16) a.  $[\text{ActP} [[\text{Act}^\circ \cdot ] [\text{CmP} [[\text{Cm}^\circ \vdash ] [\text{TP} / \textit{I won the race}]]]]]$   
 b.  $[\text{ActP} [[\text{Act}^\circ \cdot ] [\text{CmP} / [[\text{Cm}^\circ \vdash \textit{won} ] [\text{TP} \textit{t}_i \textit{t}_{\text{won}} \textit{the race}]]]]]$   
 c.  $[\text{ActP} / [[\text{Act}^\circ \cdot \textit{won} ] [\text{CmP} [\textit{t}_i [\text{Cm}^\circ \vdash \textit{t}_{\text{won}}] [\text{TP} \textit{t}_i \textit{t}_{\text{won}} \textit{the race}]]]]]$

Compositional interpretation by function  $[[ \ ]]^{S_1 S_2}$ , where  $S_1$ : Speaker,  $S_2$ : Addressee

- (17)  $[[[\text{ActP} [[\text{Act}^\circ \cdot ] [\text{CmP} [[\text{Cm}^\circ \vdash ] [\text{TP} / \textit{I won the race}]]]]]]]^{S_1 S_2}$   
 $= [[[\text{Act}^\circ \cdot ]]]^{S_1 S_2} ([[[\text{Cm}^\circ \vdash ] [\text{TP} / \textit{I won the race}]]]^{S_1 S_2})$   
 $= [[[\text{Act}^\circ \cdot ]]]^{S_1 S_2} ([[[\text{Cm}^\circ \vdash ]]]^{S_1 S_2} ([[[\text{TP} / \textit{I won the race}]]]^{S_1 S_2}))$   
 with  $[[[\text{TP} / \textit{I won the race}]]]^{S_1 S_2} = \text{'S}_1 \text{ won the race'}$   
 $[[[\text{Cm}^\circ \vdash ]]]^{S_1 S_2} = \lambda p \lambda S [S \vdash p]$   
 $[[[\text{Act}^\circ \cdot ]]]^{S_1 S_2} = \lambda R \lambda \langle \dots, C^* \rangle [\langle \dots, C^*, [C + R(S_1)]^{S_1} \rangle]$   
 $= \lambda \langle \dots, C^* \rangle [\langle \dots, C^*, [C + S_1 \vdash \text{'S}_1 \text{ won the race'}]^{S_1} \rangle]$

proposition, TP  
 head of CmP  
 head of ActP

A function that updates the last CSp of a CSD.



### 3.3 Reactions to assertion

Assertions have two effects:

- ◆ Conventional effect: Adding commitment to proposition
- ◆ Conversational implicature: Adding proposition

$$(18) \quad \langle \dots, C^* \rangle +^{S_1} S_1 \vdash \varphi +^{S_1} \varphi \\ = \langle \dots, C^*, [C + S_1 \vdash \varphi]^{S_1}, [C + S_1 \vdash \varphi + \varphi]^{S_1} \rangle$$

Reactions to assertions:

- (19)  $S_1: [_{\text{ActP}} [[.] [_{\text{CmP}} [[\vdash] [_{\text{TP}} I \text{ won the race}]]]]]$  introduction of propositional  
 $\hookrightarrow \varphi$  discourse referent  $\varphi$   
 $S_2: (\text{Okay.}) +_{S_2} \varphi$  acknowledgement of  $\varphi$   
 $S_2: \text{Yes.} +_{S_2} S_2 \vdash \varphi$  assert  $\varphi$   
 $S_2: \text{No.} +_{S_2} S_2 \vdash \neg \varphi$  assert negation of  $\varphi$ , requires retraction

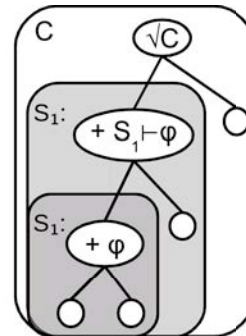


Figure 6:  
Assertion of  $\varphi$ , followed by  
conventional implicature  $\varphi$

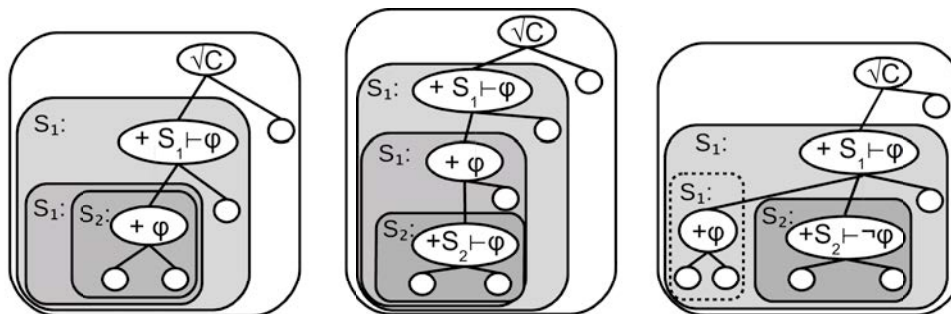


Figure 7: Acknowledgement (*okay*), Confirmation (*yes*) and Contradiction (*no*) of an assertion

# 4 Questions

## 4.1 Questions as meta speech acts

Questions as Common Ground Management:

- ◆ They determine how the common ground should develop
- ◆ Preferred development: Addressee answers the question

$$(20) \quad \langle \dots, C^* \rangle + S_1 \text{ to } S_2: \textit{Did I win the race?}$$

$$= \langle \dots, C^*, [\{\sqrt{C}\} \cup C + S_2 \vdash \varphi \cup C + S_2 \vdash \neg\varphi]^{S_1} \rangle$$

Possible reactions to polar question:

$$(21) \text{ a. } (20) + S_2: \textit{Yes.} \quad = (20) +^{S_2} S_2 \vdash \varphi$$

$$\text{ b. } (20) + S_2: \textit{No.} \quad = (20) +^{S_2} S_2 \vdash \neg\varphi$$

$$(22) (20) +^{S_2} \text{ \& } +^{S_2} S_2: \textit{I don't know.} =$$

$$\langle \dots, C^*, [\{\sqrt{C}\} \cup C + S_2 \vdash \varphi \cup C \cup S_2 \vdash \neg\varphi]_{S_1}, C^{S_2}, [C + S_2 \vdash \neg S_2 \text{ knows whether } \varphi]^{S_2} \rangle$$

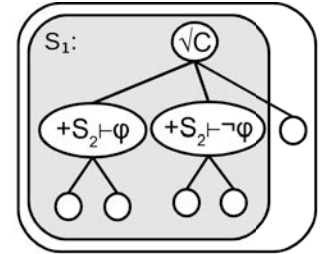


Figure 8: Bipolar question

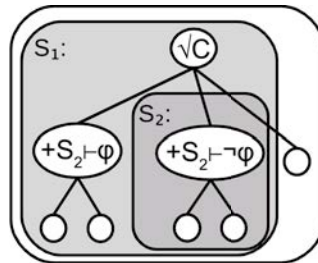
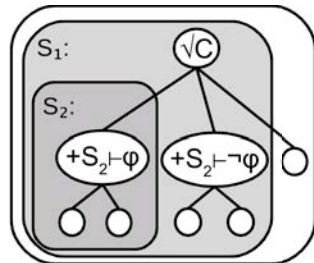


Figure 9:

Answers yes and no to bipolar question

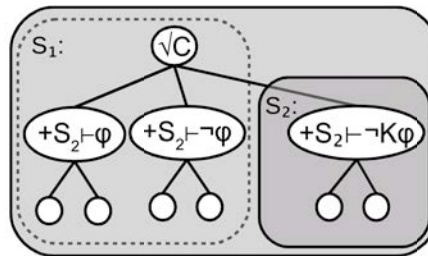


Figure 10:

Rejection of bipolar question

## 4.2 Monopolar questions

- ◆ Polar question as illustrated so far: Offer two assertions, of  $\varphi$  and  $\neg\varphi$   
 $\Rightarrow$  **bipolar** question
- ◆ The framework also allows for questions that offer just one assertion, of  $\varphi$   
 $\Rightarrow$  **monopolar** questions

Candidates for monopolar questions:

- (23) a. Declarative questions: *I won the race?*  
 b. Questions with negated propositions: *Did I not win the race?*  
 c. Option for regular questions: *Did I win the race?*  
 (Different from: *Did I win the race, or not?*)

- (24)  $\langle \dots, C^* \rangle + S_1$ , to  $S_2$ : *I won the race?*  
 $= \langle \dots, C^*, [\{\sqrt{C}\} \cup C + S_2 \vdash \varphi]^{S_1} \rangle$

Notice that response *yes* is straightforward,  
 whereas *no* requires prior rejection

- ◆ Natural way of expressing question bias
- ◆ This option is not available for theories for which questions always denote a non-singleton set of propositions, or a disjunction, as in Inquisitive Semantics (Roelofson & Farkas 2015).

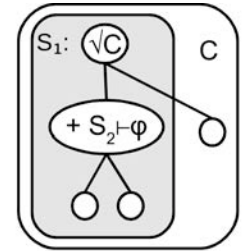


Figure 11: Monopolar (biased) question

### 4.3 Derivation of monopolar questions

ActP head ? creates a meta speech act (requests to commit to proposition):

$$(25) \llbracket \llbracket \text{ActP} \llbracket \llbracket \text{Act}^\circ ? \textit{Did} \rrbracket \llbracket \text{CmP} \llbracket \llbracket \text{Cm}^\circ \vdash t_{did} \rrbracket \llbracket \text{TP} \textit{I } t_{did} \textit{ win the race} \rrbracket \rrbracket \rrbracket \rrbracket \rrbracket^{S_1 S_2}$$

$$= \llbracket \llbracket \text{Act}^\circ ? \rrbracket \rrbracket^{S_1 S_2} (\llbracket \llbracket \text{Cm}^\circ \vdash \rrbracket \llbracket \llbracket \text{TP} \textit{I did win the race} \rrbracket \rrbracket \rrbracket^{S_1 S_2})$$

$$= \llbracket \llbracket \text{Act}^\circ ? \rrbracket \rrbracket^{S_1 S_2} (\llbracket \llbracket \text{Cm}^\circ \vdash \rrbracket \rrbracket^{S_1 S_2} (\llbracket \llbracket \text{TP} \textit{I did win the race} \rrbracket \rrbracket^{S_1 S_2}))$$

with  $\llbracket \llbracket \text{TP} \textit{I won the race} \rrbracket \rrbracket^{S_1 S_2} = \text{'S}_1 \text{ won the race'}$

$$\llbracket \llbracket \text{Cm}^\circ \vdash \rrbracket \rrbracket^{S_1 S_2} = \lambda p \lambda S [S \vdash p]$$

$$\llbracket \llbracket \text{Act}^\circ ? \rrbracket \rrbracket^{S_1 S_2}$$

$$= \lambda R \lambda \langle \dots, C^* \rangle [\langle \dots, C^*, [\{\sqrt{C}\} \cup C + R(\mathbf{S}_2)]^{S_1} \rangle]$$

$$= \lambda \langle \dots, C^* \rangle$$

$$[\langle \dots, C^*, [\{\sqrt{C}\} \cup C + S_2 \vdash \text{'S}_1 \text{ won the race'} \rrbracket^{S_1} \rangle]$$

proposition

head of CmP,  
same as assertion

head of ActP,  
applies CmP to **addressee**  
monopolar question

## 4.4 Commitment Phrases in Conjunct/Disjunct systems

Example: Kathmandu Newari (Hargreaves 2005; cf. Wechsler 2015).

(26) Assertions

a. *jī:*            *a:pwa twan-ā.*  
1.SG.ERG much drink-PST. **CJ**  
'I drank a lot.'

b. *chā*            *a:pwa twan-a.*  
2. SG.ERG much drink-PST. **DJ**  
'You drank a lot'

c. *wā:*            *a:pwa twan-a.*  
3. SG.ERG much drink-PST. **DJ**  
'he/she drank a lot'

Questions

*jī:*            *a:pwa twan-a-la.*  
'1.SG.ERG much drink-PST. **DJ**-Q  
'Did I drink a lot?'

*chā*            *a:pwa twan-ā-la.*  
2.SG.ERG much drink-PST. **CJ**-Q  
'Did you drink a lot?'

*wā:*            *a:pwa twan-a-la.*  
'3. SG.ERG much drink-PST. **DJ**-Q  
'Did he/she drink a lot?'

Proposal: CJ if Committer = Subject, DJ if Committer ≠ Subject

(27)  $\llbracket \text{CJ} \rrbracket^{S_1 S_2} = \lambda P \lambda x \lambda S. S=x[S \vdash P(x)]$              $\llbracket \text{DJ} \rrbracket^{S_1, S_2} = \lambda P \lambda x \lambda S. S \neq x[S \vdash P(x)]$

For 3<sup>rd</sup> pers. subjects in commitment reports; embedded assertions (cf. Krifka 2015):

(28) *Syām-ā*    *a:pwa twan-ā*    *hã.*            *Syām-ā*    *a:pwa twan-a*    *hã.*  
Syam-ERG much drink-PST. **CJ** EVD            Syam-ERG much drink-PFV. **DJ** EVD  
'Syam said that he drank too much.'            'It is said that Sam drank too much.'

## 4.5 Disjunctive questions

(29) *Did Ed meet Ánn, or did Ed meet Béth?* raising accent (question)

Proposal: Question disjunction

(30)  $\llbracket \llbracket \text{ActP } \llbracket \text{ActP } \textit{Did Ed meet Ann} \rrbracket \textit{ or } \llbracket \text{ActP } \textit{Did Ed meet Beth} \rrbracket \rrbracket \rrbracket^{S_1 S_2}$

with  $\llbracket \llbracket \text{ActP } \textit{Did Ed meet Ann} \rrbracket \rrbracket^{S_1 S_2} = \lambda \langle \dots, C^* \rangle \langle \dots, C^*, [\{\sqrt{C}\} \cup C + S_2 \vdash \textit{Ed met Ann}]^{S_1} \rangle$

and  $\llbracket \llbracket \text{ActP } \textit{Did Ed meet Beth} \rrbracket \rrbracket^{S_1 S_2} = \lambda \langle \dots, C^* \rangle \langle \dots, C^*, [\{\sqrt{C}\} \cup C + S_2 \vdash \textit{Ed met Beth}]^{S_1} \rangle$

and  $\llbracket \textit{or} \rrbracket^{S_1 S_2} = V$

$= \lambda R \lambda R' \langle R[1] \cup R'[1], R[2] \cup R'[2], \dots, R[\textit{fin}] \cup R'[\textit{fin}] \rangle$  pointwise union

$= \lambda R \lambda R' \langle R[1], R[2], \dots, [R[\textit{fin}](C^*) \cup R'[\textit{fin}](C^*)]^{S_1} \rangle$  R, R' same up to [fin-1]

$= \lambda \langle \dots, C^* \rangle \langle \dots, C^*, [[\{\sqrt{C}\} \cup C + S_2 \vdash \textit{Ed met Ann}] \cup$   
 $\{\sqrt{C}\} \cup C + S_2 \vdash \textit{Ed met Beth}]^{S_1} \rangle$

$= \lambda \langle \dots, C^* \rangle \langle \dots, C^*, [[\{\sqrt{C}\} \cup C + S_2 \vdash \textit{Ed met Ann} \cup C + S_2 \vdash \textit{Ed met Beth}]^{S_1} \rangle$

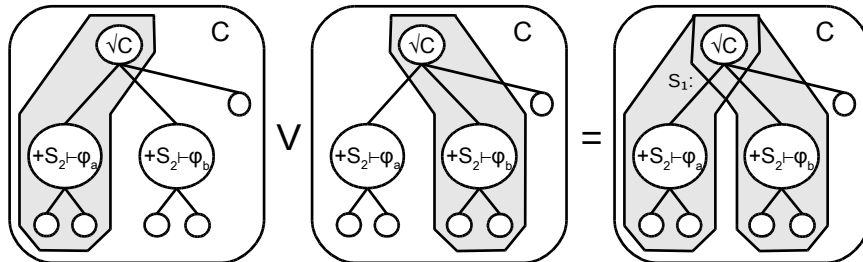


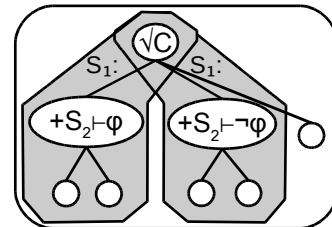
Figure 12: Disjunctive question as disjunction of two monopolar questions

## 4.6 Alternative (disjunctive) questions

Disjunctive questions come about as disjunctions of monopolar questions; recall that disjunctions are defined for meta speech acts.

(31)  $S_1$  to  $S_2$ : *Did I win the race, or not?*

$$\begin{aligned}
 &= \llbracket [\text{ActP } \textit{Did I win the race}] \rrbracket^{S_1 S_2} \\
 &\quad \vee \llbracket [\text{ActP } \textit{did I not win the race}] \rrbracket^{S_1 S_2} \\
 &= \lambda \langle \dots, C^* \rangle \\
 &\quad \langle \dots, C^*, \{ \{\sqrt{C}\} \cup C + S_2 \vdash \textit{'S}_1 \textit{ won the race'} \} \\
 &\quad \quad \cup \{ \{\sqrt{C}\} \cup C + S_2 \vdash \neg \textit{'S}_1 \textit{ won the race'} \} \rangle
 \end{aligned}$$



**Figure 13:**  
Disjunction of monopolar questions

Simple answer *yes / no* avoided,  
as there are two propositional discourse referents:

(32)  $[\text{ActP } [\text{ActP } ? \textit{Did } [\text{CmP } \vdash [\text{IP } \textit{I win the race}]]] \textit{ or } [\text{ActP } ? \textit{did } [\text{CmP } \vdash [\text{IP } \textit{I not win the race}]]]]]$   
 $\hookrightarrow \varphi$   $\hookrightarrow \neg\varphi$

Cf. disjunctive formation of bipolar questions in Mandarin:

(33) a. monopolar question:

*Nǐ chí píngguo ma?*

you eat apple      QUEST

'Do you eat apples?', 'You eat apples?'

b. bipolar question:

*Nǐ chí bu chí píngguo?*

you eat not eat apple

'Do you eat apples (or not)?'

## 4.7 Constituent Questions as disjunctive questions

- (34) a. *Which woman did Ed meet? (Ann, Beth, or Carla?)*  
 b. *Did Ed meet Ann, or did Ed meet Beth, or did Ed meet Carla?*

In English, wh-phrases in root questions are moved to SpecActP:

$$(35) \llbracket \llbracket \text{ActP} \llbracket \text{DP } \textit{which woman} \rrbracket_i \llbracket \text{Act}' \llbracket \text{Act}^\circ \text{ ?-did} \rrbracket \llbracket \text{CmP} \llbracket \llbracket \text{Cm}^\circ \text{ } \vdash \rrbracket \llbracket \text{TP } \textit{Ed t}_{did} \textit{meet t}_i \rrbracket \rrbracket \rrbracket \rrbracket^{S_1 S_2}$$

$$= \llbracket \llbracket \text{DP } \textit{which woman} \rrbracket \rrbracket^{S_1 S_2} (\lambda x_i \llbracket \llbracket \text{Act}' \llbracket \text{Act}^\circ \text{ ?-did} \rrbracket \llbracket \text{CmP} \llbracket \llbracket \text{Cm}^\circ \text{ } \vdash \rrbracket \llbracket \text{TP } \textit{Ed t}_{did} \textit{meet t}_i \rrbracket \rrbracket \rrbracket \rrbracket^{S_1 S_2, t/x_i}$$

with  $\llbracket \llbracket \text{DP } \textit{which woman} \rrbracket \rrbracket^{S_1 S_2} = \lambda R \bigvee_{x \in \llbracket \text{woman} \rrbracket} [R(x)]$

$$\text{and } \lambda x_i \llbracket \llbracket \text{Act}' \llbracket \text{Act}^\circ \text{ ?-did} \rrbracket \llbracket \text{CmP} \llbracket \llbracket \text{Cm}^\circ \text{ } \vdash \rrbracket \llbracket \text{TP } \textit{Ed t}_{did} \textit{meet t}_i \rrbracket \rrbracket \rrbracket \rrbracket^{S_1 S_2, t/x_i}$$

$$= \lambda x_i \lambda \langle \dots, C^* \rangle \langle \dots, C^*, \{ \{ \sqrt{C} \} \cup C + S_2 \vdash \textit{Ed met } x_i' \}^{S_1} \rangle$$

$$= \lambda \langle \dots, C^* \rangle \langle \dots, C^*, \{ \{ \sqrt{C} \} \cup \bigcup \{ C + S_2 \vdash \textit{Ed met } x_i' \mid x_i \in \textit{woman} \} \}^{S_1} \rangle$$

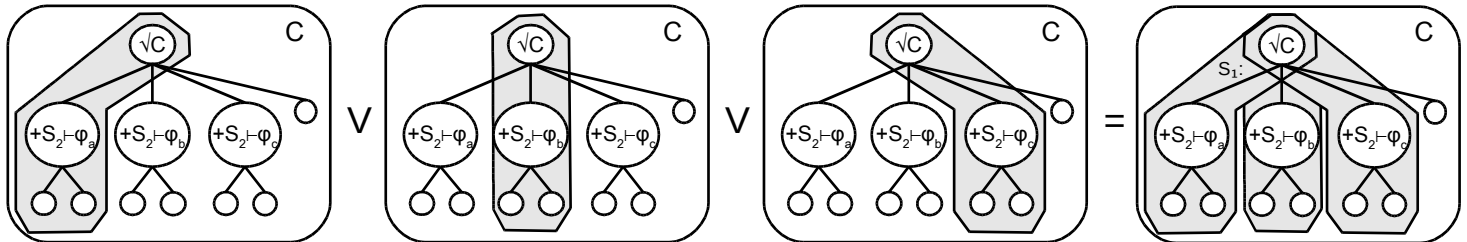


Figure 14: Constituent question *Which woman did Ed meet?* as disjunction of monopolar questions.



## 5 Focus in Answers and Questions

### 5.1 Focus in Answers

- (36) a.  $S_1$ : *Who met Ann?*       $S_2$ :  $[ED]_F$  *met Ann.*  
 b.  $S_1$ : *Who did Ed meet?*       $S_2$ : *Ed met [ANN]<sub>F</sub>*

Focus in answer leads to a set of alternatives that matches the question (Rooth 1992); here: alternative **assertions**.

- (37)  $\llbracket [_{\text{ActP}} \text{Ed met } [ANN]_F. ] \rrbracket^{S_2 S_1}$  (with alternatives Ann, Beth, Carla):'  
 meaning:  $\lambda \langle \dots, C^* \rangle [ \langle \dots, C^*, [C + S_2 \vdash \text{'Ed met Ann'}] \rangle ]$   
 alternatives:  $\{ \lambda \langle \dots, C^* \rangle [ \langle \dots, C^*, [C + S_2 \vdash \text{'Ed met Ann'}]^{S_2} \rangle ],$   
 $\lambda \langle \dots, C^* \rangle [ \langle \dots, C^*, [C + S_2 \vdash \text{'Ed met Beth'}]^{S_2} \rangle ],$   
 $\lambda \langle \dots, C^* \rangle [ \langle \dots, C + S_2 \vdash \text{'Ed met Carla'} \rangle^{S_2} \rangle ] \}$

Condition for Q/A focus congruence: Alternatives of Answer  $\subseteq$  Meaning of Question

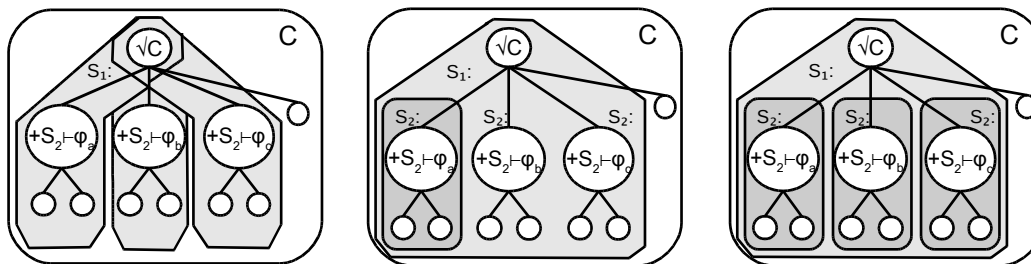


Figure 15: (a) Meaning of question, (b) meaning of answer, (c) alternatives of answer

## 5.2 Focus in questions

Here: Focus in monopolar questions.

(38)  $S_1$ : *Did Ed meet [ANN]<sub>F</sub>?*  $S_2$ : Yes. rising accent  
 $S_2$ : #No. / No, he met [BETH]<sub>F</sub>.

Focus indicates alternative monopolar question:

(39)  $\llbracket \llbracket \text{ActP } \textit{Did Ed meet [ANN]_{F?} \rrbracket \rrbracket^{S_1 S_2}$  (with alternatives Ann, Beth, Carla)

meaning:  $\lambda \langle \dots, C^* \rangle \langle \dots, C^*, \{ \{ \sqrt{C} \} \cup C + S_2 \vdash \textit{Ed met Ann} \}^{S_1} \rangle$

alternatives:  $\{ \lambda \langle \dots, C^* \rangle \langle \dots, C^*, \{ \{ \sqrt{C} \} \cup C + S_2 \vdash \textit{Ed met Ann} \}^{S_1} \rangle, \lambda \langle \dots, C^* \rangle \langle \dots, C^*, \{ \{ \sqrt{C} \} \cup C + S_2 \vdash \textit{Ed met Beth} \}^{S_1} \rangle, \lambda \langle \dots, C^* \rangle \langle \dots, C^*, \{ \{ \sqrt{C} \} \cup C + S_2 \vdash \textit{Ed met Carla} \}^{S_1} \rangle \}$

The alternatives form the background of the question, which is accommodated; if question is answered negatively, this background question remains.

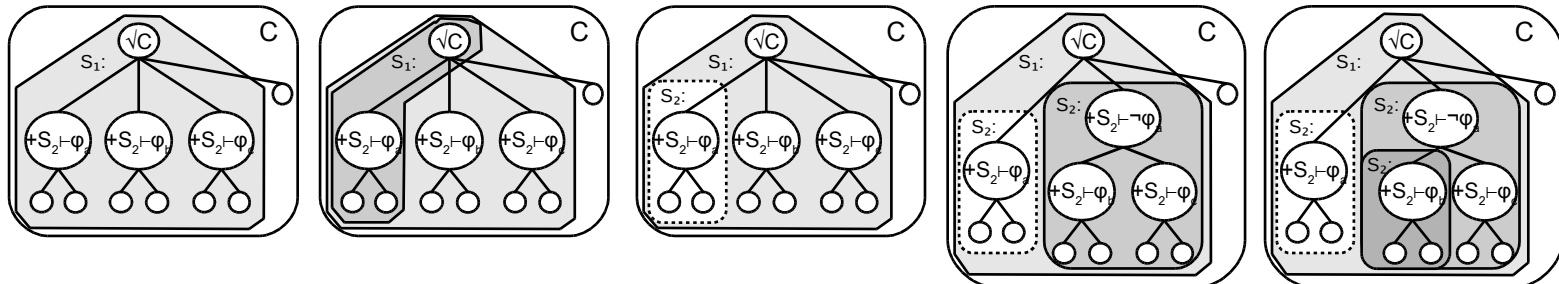


Figure 16: (a) Background of question, (b) question, (c) rejection, (d) assertion of negated proposition, (e) assertion of other proposition

### 5.3 Phrasal alternative questions

(40) *Did Ed meet ÁNN, BÉTH, or CÀRla?*

- ◆ Focus on  $[_{DP} \text{ Ann, Beth, or Carla}]$  leads to set of CmPs as meaning
- ◆ There is an interpretation of the question operator ? that takes sets of CmPs and turns them into a disjunction.
- ◆ Result: Alternative question is interpreted like a wh constituent question:  
*Who did Ed meet? Ann, Beth, or Carla?*

(41) a.  $\llbracket [_{CmP} \llbracket [_{Cm^o} \vdash \text{did}] \llbracket [_{IP} \text{Ed } t_{did} \text{ meet} \llbracket \text{ANN, BETH, or CARla} \rrbracket_{Foc} ] \rrbracket ] \rrbracket ]^{S_1 S_2}$

Meaning:  $\{\lambda S[S \vdash \text{'Ed met Ann'}], \lambda S[S \vdash \text{'Ed met Beth'}], \lambda S[S \vdash \text{'Ed met Carla'}]\}$

b.  $\llbracket [_{ActP} \llbracket [_{Act^o} ??] \llbracket [_{CmP} \llbracket [_{Cm^o} \vdash \text{did}] \llbracket [_{IP} \text{Ed } t_{did} \text{ meet} \llbracket \text{ANN, BETH, or CARla} \rrbracket_{Foc} ] \rrbracket ] \rrbracket ] \rrbracket ]^{S_1 S_2}$

$= \llbracket [_{Act^o} ??] \rrbracket^{S_1 S_2} (\llbracket [_{CmP} \llbracket [_{Cm^o} \vdash \text{did}] \llbracket [_{IP} \text{Ed } t_{did} \text{ meet} \llbracket \text{ANN, BETH, or CARla} \rrbracket_{Foc} ] \rrbracket ] \rrbracket ]^{S_1 S_2})$

with  $\llbracket [_{Act^o} ??] \rrbracket^{S_1 S_2} = \lambda \underline{R} \lambda \langle \dots, C^* \rangle [\langle \dots, C^*, [\bigvee_{R \in R} \{\{\sqrt{C}\} \cup C + R(S_2)\}]^{S_1} \rangle]$

$= \lambda \langle \dots, C^* \rangle [\langle \dots, C^*, [\bigvee_{R \in \{\lambda S[S \vdash \text{'Ed met Ann'}], \lambda S[S \vdash \text{'Ed met Beth'}], \lambda S[S \vdash \text{'Ed met Carla'}]\}} \{\{\sqrt{C}\} \cup C + R(S_2)\}]^{S_1} \rangle]$

$= \lambda \langle \dots, C^* \rangle [\langle \dots, C^*, [\{\{\sqrt{C}\} \cup S_2 \vdash \text{'Ed met Ann'}$   
 $\cup S_2 \vdash \text{'Ed met Beth'}$   
 $\cup S_2 \vdash \text{'Ed met Carla' } \rrbracket^{S_1} \rangle]$

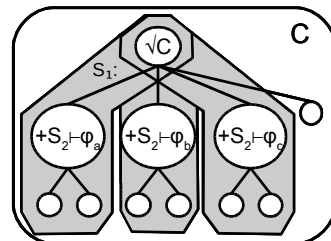


Figure 17: Alternative question

## 6 Questions with Polarity Phrases

### 6.1 Polarity Phrase

Has been invoked in case of verum focus:

(42) A: *I don't believe that you won the race.* B: *I DID win the race.*

Proposed syntactic structure:

(43)  $[[_{\text{PolP}} I [ [_{\text{Pol}^\circ} \text{pol} - \text{did}] [_{\text{IP}} t_{\text{did}} \text{win the race}]]]]$

Semantic contribution of pol:

Meaning redundant, hence always introduces alternatives.

(44) a. Meaning:  $\lambda p[p]$  (identity function)

b. Alternatives:  $\{\lambda p[p], \lambda p[\neg p]\}$

(45)  $[[[_{\text{PolP}} [ [_{\text{Pol}^\circ} \text{pol} - \text{did}] [_{\text{IP}} I t_{\text{did}} \text{win the race}]]]]]^{S_1 S_2}$   
 $= [[[_{\text{Pol}^\circ} \text{pol}]]]^{S_1 S_2} ([[[_{\text{IP}} I t_{\text{did}} \text{win the race}]]]^{S_1 S_2})$

Meaning: 'S<sub>1</sub> won the race'

Alternatives: {'S<sub>1</sub> won the race',  $\neg$ 'S<sub>1</sub> won the race'}

Q/A congruence to bipolar question:

(46) S<sub>2</sub>: *Did you win the race, or not?*

S<sub>1</sub>: *I DID win the race.*

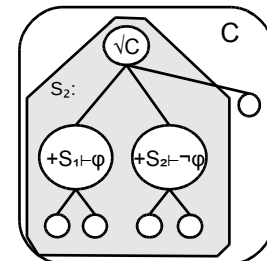
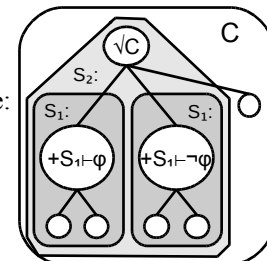


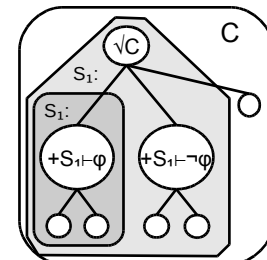
Figure 18:

(a) Bipolar question



(b)

Q/A congruence:  
Alternatives  
of answer  
fit question



(c)

Answer

## 6.2 Bipolar interpretations of yes/no questions

We have analyzed simple yes/no questions as monopolar.

But they arguably also have a bipolar reading, e.g. when auxiliary is accented:

(47)  $S_1$ : *DID I win the race?*

This can be derived by assuming a polarity phrase in the question, which necessitates the ?? question operator that refers to alternatives.

(48)  $[_{ActP} [_{Act^o} ??] [_{CmP} [_{Cm^o} \text{I-}did] [_{PolP} [_{Pol^o} \text{pol-}t_{did}] [_{TP} I t_{did} \text{win the race}]]]]]]]$

Interpretation of  $CmP$ :

(49)  $[[[_{CmP} [_{Cm^o} \text{I-}did] [_{PolP} [_{Pol^o} \text{pol-}t_{did}] [_{TP} I t_{did} \text{win the race}]]]]]]^{S_1 S_2}$

Meaning:  $\lambda S[S \vdash 'S_1 \text{ won the race}']$

Alternatives:  $\{\lambda S[S \vdash 'S_1 \text{ won the race}'], \lambda S[S \vdash \neg 'S_1 \text{ won the race}']\}$

Derivation of question:

(50)  $[[??]^{S_1 S_2} (\{\lambda S[S \vdash 'S_1 \text{ won the race}'], \lambda S[S \vdash \neg 'S_1 \text{ won the race}']\})$

with  $[[??]^{S_1 S_2} = \lambda \underline{R} \lambda \langle \dots, C^* \rangle [\langle \dots, C^*, [\bigvee_{R \in R} \{\{\sqrt{C}\} \cup C + R(S_2)\}]^{S_1} \rangle]$

$= \lambda \langle \dots, C^* \rangle [\langle \dots, C^*, \{\{\sqrt{C}\} \cup C + S_1 \vdash 'S_1 \text{ won the race}' \cup C + S_1 \vdash \neg 'S_1 \text{ won the race}'\}^{S_1} \rangle]$

### 6.3 Quasi-bipolar interpretations as focused questions

In the derivation above we assumed that the ?? operator uses the alternatives introduced by the pol operator.

A second option: The alternatives project to the ActP; raising accent

- (51)  $[[[[_{ActP} [[_ {Act^\circ} ?-DID] [_{CmPb} [[[_{Cm^\circ} \vdash t_{did}] [_{PolP} [[[_{Pol^\circ} pol- t_{did}] [ / t_{did} \textit{ win the race}]]]]]]]]]]]]^{S_1 S_2}$   
 Meaning:  $\lambda \langle \dots, C^* \rangle [\langle \dots, C^*, [\{\sqrt{C}\} \cup C + S_2 \vdash \textit{'I won the race'}]^{S_1} \rangle]$   
 Alternatives:  $\{ \lambda \langle \dots, C^* \rangle [\langle \dots, C^*, [\{\sqrt{C}\} \cup C + S_2 \vdash \textit{'I won the race'}]^{S_1} \rangle],$   
 $\lambda \langle \dots, C^* \rangle [\langle \dots, C^*, [\{\sqrt{C}\} \cup C + S_2 \vdash \neg \textit{'I won the race'}]^{S_1} \rangle] \}$

(52)  $S_2$ : *Yes, you did.*

$\lambda \langle \dots, C^* \rangle [\langle \dots, C^*, [C + S_2 \vdash \textit{'S}_1 \textit{ won the race'}]^{S_2} \rangle]$

(53)  $S_2$ : *No, you didn't.*

Requires prior retract operation, then assertion of the only alternative left.  
 then  $\lambda \langle \dots, C^* \rangle [\langle \dots, C^*, [C + S_2 \vdash \neg \textit{'S}_1 \textit{ won the race'}]^{S_2} \rangle]$

Question is not quite symmetric, but signals interest in positive and negative answer.

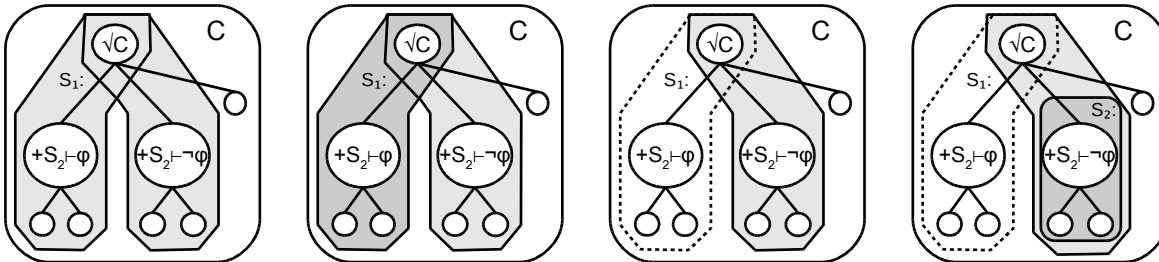


Figure 19: (a) Alternatives of question, (b) Question, (c) Rejection, (d) Assertion of remaining alternative

# 7 Negated Questions

## 7.1 Monopolar question with propositional negation:

(54)  $\llbracket \llbracket \text{ActP} \llbracket \llbracket \text{Act}^\circ \text{ ? } Did \rrbracket \llbracket \text{CmP} \llbracket \llbracket \text{Cm}^\circ \vdash t_{did} \rrbracket \llbracket \text{TP} / [ \text{not} \llbracket \text{TP } t_l t_{did} \text{ win the race} \rrbracket ] \rrbracket ] \rrbracket ]^{S_1 S_2}$   
 $\lambda \langle \dots, C^* \rangle \langle \dots, C^*, \{ \sqrt{C} \} \cup C + S_2 \vdash \neg 'S_1 \text{ won the race}' \rangle^{S_1}$

Notice:

- ◆ This is different from non-negated monopolar question, bias towards negative answer
- ◆ In standard accounts (Hamblin, Groenendijk & Stokhof, Roelofsen) non-negated and negated yes/no questions have the same meaning:  
 $\{p, \neg p\} = \{\neg p, \neg \neg p\}$
- ◆ Interpretation of responses *yes* / *no* is not straightforward, as two propositional discourse referents,  $\varphi$  and  $\neg\varphi$ , are introduced (cf. Krifka 2013, Meijer e.a. 2015).

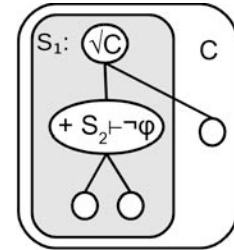


Figure 20: Monopolar (biased) question

## 7.2 Monopolar question with high negation

High negation is interpreted at the level of the commitment phrase:

$$\begin{aligned}
 (55) & \llbracket_{\text{ActP}} \llbracket_{\text{Act}^\circ} ? \text{Did} \rrbracket_{\text{CmP}} n't \llbracket_{\text{Cm}^\circ \vdash} \llbracket_{\text{TP}} I \text{t}_{\text{did}} \text{win the race} \rrbracket \rrbracket \rrbracket^{S_1 S_2} \\
 &= \llbracket_{\text{Act}^\circ} ? \rrbracket^{S_1 S_2} (\llbracket \text{not} \rrbracket^{S_1 S_2} (\llbracket \vdash \rrbracket^{S_1 S_2} (\llbracket_{\text{TP}} I \text{did win the race} \rrbracket^{S_1 S_2}))) \\
 &= \lambda \langle \dots, C^* \rangle \langle \dots, C^*, [\{\sqrt{C}\} \cup C + \neg S_2 \vdash \varphi]^{S_1} \rangle
 \end{aligned}$$

- ◆ With this move,  $S_1$  asks  $S_2$  to express non-commitment towards the proposition  $\varphi$ .
- ◆ Notice that adding  $\neg S_2 \vdash \varphi$  to the CSp precludes commitment to  $\varphi$ , i.e.,  $S_2 \vdash \varphi$ , but is compatible with commitment to  $\neg\varphi$ , i.e.,  $S_2 \vdash \neg\varphi$ .
- ◆ Hence,  $\neg S_2 \vdash \varphi$  is pragmatically weaker than  $S_2 \vdash \neg\varphi$ : The former proposition does not force  $S_2$  to also commit to  $\neg\varphi$ , whereas the latter proposition forces  $S_2$  not to commit to  $\varphi$ , as it would be incompatible with  $S_2 \vdash \varphi$ .

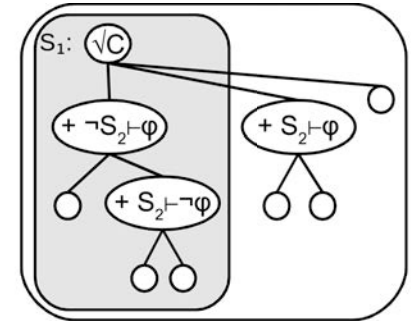


Figure 21: High negation question

Reactions to high negation questions:

- ◆ The TP introduces a discourse referent  $\varphi$ , can be picked up by *no*, asserts  $\neg\varphi$ .
- ◆ The answer *yes* requires a rejection of the last move in.
- ◆ The reaction *I don't know* does not require a rejection, as it is compatible with  $S_2$  being not committed to  $\varphi$ .



### 7.3 Questions of bias

A variety of expressing yes/no questions:

- (56) a.  $\{\sqrt{C}\} \cup C + [S_2 \vdash \varphi]^{S_1}$  monopolar question  
 $\{\sqrt{C}\} \cup C + [S_2 \vdash \neg\varphi]^{S_1}$  monopolar question, negated proposition
- b.  $\{\sqrt{C}\} \cup C + S_2 \vdash \varphi \cup C + [S_2 \vdash \neg\varphi]^{S_1}$  bipolar question
- c.  $\{\sqrt{C}\} \cup C + [\neg S_2 \vdash \varphi]^{S_1}$  high negation question  
 $\{\sqrt{C}\} \cup C + [\neg S_2 \vdash \neg\varphi]^{S_1}$  high negation question, negated proposition

Discussion of different kinds of biases:  
Büring & Gunlogson 2000, Sudo 2013

Sudo discusses different kinds of bias:

- ◆ Evidential bias
- ◆ Epistemic bias

Evidential bias:

(57) [ $S_2$  enters the windowless computer room, raincoat dripping.]

- a. *Is it raining?*
- b. # *Is it not raining?*
- c. # *Is it sunny?*
- d. # *Is it raining, or not?*
- e. # *Isn't it raining?*
- f. # *IS it raining?*

(58) a. Asking the monopolar question  $S_2 \vdash \varphi$ , if  $\varphi$  is likely, results in a smooth conversation (simple affirmation).

b. Asking the monopolar question  $S_2 \vdash \neg\varphi$  would result in a likely rejection, which should be avoided in smooth communication.

c. Would also result in a likely rejection.

d. Bipolar questions suggest that  $\varphi$  and  $\neg\varphi$  are equally likely, if  $\varphi$  is more likely, (a) is to be preferred.

e. Checking whether  $S_2$  would refrain from asserting  $\varphi$  is a rather complex move, appropriate only if  $\varphi$  is controversial.

f. Also a bipolar question, focus on auxiliary indicates alternatives  $\lambda p[p]$ ,  $\lambda p[\neg p]$

Epistemic bias:

(59)  $S_2$ : *You must be starving. You want something to eat?*

$S_1$ : *Yeah. I remember this place from my last visit.*

a. *Isn't there a vegetarian restaurant around here?*

b. (#) *Is there a vegetarian restaurant around here?*

Explanation:

- ◆  $S_1$  checks whether  $S_2$  refrains from committing to the proposition  $\varphi$ , that is, whether  $S_2$  is willing to add  $\neg S_2 \vdash \varphi$  to the common ground.
- ◆ Rationale:  $S_1$  has an epistemic tendency favoring  $\varphi$  and is interested whether the strength of this belief can be increased;  $S_1$  considers  $S_2$  as a possible independent source that may increase or decrease this believe.
- ◆ But  $S_1$  does not want to impose the epistemic tendency for  $\varphi$  on  $S_2$  by making asserting  $\neg\varphi$  an easy option, as with the biased question based on  $S_2 \vdash \varphi$  (b).
- ◆ (a) does not force  $S_2$  to commit to  $\varphi$  or  $\neg\varphi$  directly, but rather officially invites  $S_2$  to refrain from a commitment for  $\varphi$ .  
Explains polite flavor of high negation questions.
- ◆ Makes it easier to answer negatively, by  $S_2 \vdash \neg\varphi$ ; strategy of  $S_1$  to maximize the chances for  $S_2$  to actually commit to  $\neg\varphi$ .  
If  $S_2$  against these odds commits to  $\varphi$ , then  $S_1$  can assume that this commitment was not obtained by force.

## 8 Question tags

Matching and reverse question tags (Cattell 1973):

(60) *You are tired, are you?*

(61) a. *I have won the race, haven't I?*

b. *I haven't won the race, have I?*

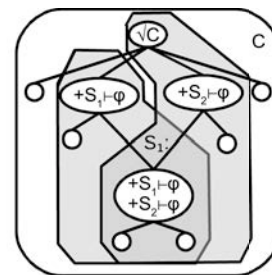
### 8.1 Matching question tags

can be analyzed by speech act **conjunction** of an assertion and a question

(62) *I have won the race, have I?*

$$C +_{S_1} \left[ \left[ \left[ \text{ActP} [ \cdot ] \right] \left[ \text{CmP} [ \text{---} ] \right] \left[ \text{TP} \text{ I have won the race} \right] \right] \right]^{S_1 S_2} \& \\ \left[ \left[ \text{ActP} [ ? ] \right] \left[ \text{CmP} [ \text{---} ] \right] \left[ \text{TP} \text{ I have won the race} \right] \right] \right]^{S_1 S_2} \\ = [C + S_1 \text{---} \varphi] \cap [\{\sqrt{C}\} \cup C + S_2 \text{---} \varphi]$$

- ◆ The overall effect is that  $S_1$  proposes to  $S_2$  that both  $S_1$  and  $S_2$  are committed to the proposition  $\varphi$ .
- ◆ That is,  $S_1$  proposes dark central area as new commitment space.
- ◆  $S_1$  can propose  $S_2 \text{---} \varphi$  because  $\varphi$  is understood as a commitment that  $S_2$  has already anyway – Cattell: Voicing a likely opinion by the addressee.
- ◆ Hence: Evidential bias towards  $\varphi$



**Figure 22:**  
Matching question tag

## 8.2 Reverse question tags

can be analyzed as a speech act disjunction of an assertion and a question.

(63) *I have won the race, haven't I?*

$$\begin{aligned}
 & C +_{S_1} \left[ \left[ \left[ \text{ActP} [ \cdot ] \right] \left[ \text{Cmp} [ \vdash ] \right] \left[ \text{TP} \text{ I have won the race} \right] \right] \right]^{S_1 S_2} \vee \\
 & \left[ \left[ \text{ActP} [ ? \text{ have'nt } ] \right] \left[ \text{Cmp} [ \vdash ] \right] \left[ \text{TP} \left[ [t_{n't}] \right] \left[ \text{TP} \text{ I } t_{\text{have}} \text{ won the race} \right] \right] \right] \right]^{S_1 S_2} \\
 & = [C + S_1 \vdash \varphi] \cup \{ \{ \sqrt{C} \} \cup C + S_2 \vdash \neg \varphi \}
 \end{aligned}$$

- ◆ The resulting commitment space is the whole gray area.
- ◆ This excludes that  $S_2$  is committed to  $\varphi$  but  $S_1$  is committed to  $\neg\varphi$ .
- ◆ This means that if  $S_2$  commits to  $\varphi$ , then  $S_1$  is committed to  $\varphi$  as well.
- ◆ That is,  $S_1$  puts forward a commitment to  $\varphi$ , asking  $S_2$  for support.
- ◆ If  $S_2$  does not provide this support by committing to  $\neg\varphi$ ,  $S_1$  is free to either stick with the commitment to  $\varphi$ , or to retract it and even assert  $\neg\varphi$ , without contradicting an earlier commitment.
- ◆ Epistemic bias towards  $\varphi$ , seeking confirmation

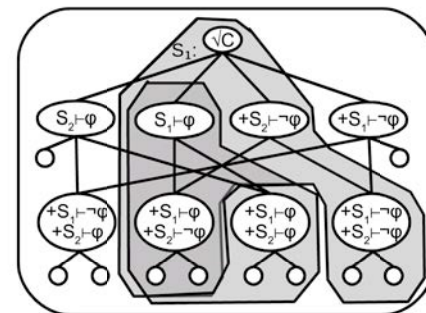


Figure 23: Reverse question tag

## 9 Embedded Questions (added)

### 9.1 Nature and kind of embedded questions

Questions also occur as embedded syntactic objects:

- (64) a. *Who won the race?*  
b. *Bill knows who won the race.*

But there are important differences between root and embedded questions:

- |         |  |  |
|---------|--|--|
| (65) a. | <i>Who did Ed meet?</i>                              | * <i>Who Ed met?</i>                         |
| b.      | <i>Bill knows who Ed met.</i>                        | * <i>Bill knows who did Ed meet.</i>         |
| (66) a. | <i>Did Ed meet Beth?</i>                             | * <i>Whether / if Ed met Beth?</i>           |
| b.      | <i>Bill knows whether / if Ed met Beth.</i>          | * <i>Bill knows did Ed meet Beth?</i>        |
| (67) a. | <i>Did Ed meet Ann or Beth?</i>                      | * <i>Whether Ed met Ann or Beth?</i>         |
| b.      | <i>Bill knows whether Ed met Ann or Beth.</i>        | * <i>Bill knows did Ed meet Ann or Beth?</i> |
| (68) a. | <i>Wen hat Ed <u>denn</u> getroffen?</i>             | discourse particles in German                |
| b.      | <i>Bill weiß, wen Ed *<u>denn</u> getroffen hat.</i> |  |

This is evidence that embedded questions do not involve ActP and CmP, but they involve structure beyond a TP.

## 9.2 whether

Embedded questions and declaratives form a CP, not a CmP or ActP:

(69) a.  $[_{CP} [_{C^0} \textit{whether}] [_{TP} \textit{Ed met Ann}]]]$

b.  $[_{CP} [_{C^0} \textit{that}] [_{TP} \textit{Ed met Ann}]]]$

*Whether* / Q turns TP proposition into a set of propositions, with two options:

◆ Monopolar:  $\lambda p\{p\}$

◆ Bipolar:  $\lambda p\{p, \neg p\}$

Evidence for monopolar operator: Disjunctions

(70) a.  $[_{CP} [_{CP} [_{C^0} \textit{whether}] |_{TP} \textit{Ed met Ann}]]] \textit{ or } [_{CP} [_{C^0} \textit{whether}] [_{TP} \textit{he met Beth}]]]$

b.  $[_{CP} [_{CP} [_{C^0} \textit{whether}] |_{TP} \textit{Ed met Ann}]]] \textit{ or } [_{CP} [_{C^0} \textit{whether}] [_{TP} \textit{he did not meet her}]]]$

c.  $[_{CP} [_{C^0} \textit{whether or not}] [_{TP} \textit{Ed met Ann}]]]$

d.  $[_{CP} [_{C^0} \textit{whether}] [_{TP} \textit{Ed met ANN, BETH or CARla}]]]$

(71)  $[[[_{CP} [_{C^0} \textit{whether}] |_{TP} \textit{Ed met Ann}]]] \textit{ or } [_{CP} [_{C^0} \textit{whether}] [_{TP} \textit{he met Beth}]]]]^{S_1 S_2}$

=  $\lambda p\{p\}[\textit{'Ed met Ann'}] \vee \lambda p\{p\}[\textit{'Ed met Beth'}]$

=  $\{\textit{'Ed met Ann'}\} \cup \{\textit{'Ed met Beth'}\}, = \{\textit{'Ed met Ann'}, \textit{'Ed met Beth'}\}$

Bipolar operator:

(72)  $[[[_{CP} [_{C^0} \textit{whether}] [_{TP} \textit{Ed met Ann}]]]]^{S_1 S_2}$

=  $\lambda p\{p, \neg p\}(\textit{'Ed met Ann'}), = \{\textit{'Ed met Ann'}, \neg \textit{'Ed met Ann'}\}$

### 9.3 Embedded Constituent Questions

Assumption for syntactic structure: Qu head

(73) a.  $[_{CP} \text{ who}_i [_{C^0} \text{ Qu } ] [_{TP} \text{ Ed met } t_i]]]$

b.  $[_{CP} \text{ wen } [_{C^0} (\text{dass})] [_{TP} \text{ Ed } t_{\text{wen}} \text{ getroffen haf}]]]$  (Southern German)

Qu is interpreted like *whether*, i.e. introduces singleton sets.

(74)  $[[[_{CP} [\text{which woman}]_i [_{C^0} \text{ Qu } ] [_{TP} \text{ Ed met } t_i]]]]^{S_1 S_2}$

$= [[\text{which woman}]^{S_1 S_2} (\lambda x_i [[[\text{Qu}]]^{S_1 S_2} ([[_{TP} \text{ Ed met } t_i]]^{S_1 S_2, t/x_i})]])]$

with  $[[[_{TP} \text{ Ed met } t_i]]^{S_1 S_2, t/x_i} = \text{'Ed met } x_i\text{'}$

and  $[[\text{Qu}]]^{S_1 S_2} = \lambda p\{p\}$

and  $[[\text{which woman}]]^{S_1 S_2} = \lambda R \bigvee_{x \in [[\text{woman}]]^{S_1 S_2}} R(x)$

we have:  $\bigvee_{x \in [[\text{woman}]]^{S_1 S_2}} \{\text{'Ed met } x'\}, = \{\text{'Ed met } x' \mid x \in [[\text{woman}]]^{S_1 S_2}\}$

Question-embedding *know* reduces to proposition-embedding *know*:

(75)  $[[\text{know}]](Q)([[\text{Ed}]])) \Leftrightarrow \forall p \in Q [p \text{ is true} \rightarrow [[\text{know}]](p)([[\text{Ed}]])]$

'for every true proposition in the set of propositions, Ed knows that it is true.'

Notice: strong exhaustive interpretation when Qu is interpreted as  $\lambda p\{p, \neg p\}$



## 9.4 Comparison: Wh in Root vs. embedded questions

Wh in embedded questions: Disjunctions of sets of propositions.

$$(76) \text{ a. } \{p\} \vee \{q\} = \{p\} \cup \{q\}, = \{p, q\}$$

$$\text{ b. } \lambda R \left[ \bigvee_{x \in WH} R(x) \right] (\lambda y \{p(y)\}) = \bigcup_{x \in WH} \{p(x)\}$$

Wh in root questions on the CSp level: Disjunctions of functions from CSp to CSp

$$(77) \text{ a. } \lambda C[\mathfrak{A}(C)] \vee \lambda C[\mathfrak{B}(C)] = \lambda C[\mathfrak{A}(C) \cup \mathfrak{B}(C)]$$

$$\text{ b. } \lambda R \left[ \bigvee_{x \in WH} R(x) \right] (\lambda y \lambda C[\mathfrak{A}(y)(C)]) = \lambda C \left[ \bigcup_{x \in WH} \mathfrak{A}(x) \right]$$

Basic meaning in either case: set union (corresponding to disjunction);  
difference just a matter of type (where e: entities, st: propositions)

- ◆ Root questions: *who* is of type  $[[e \rightarrow \{st\}] \rightarrow \{st\}]$
- ◆ Embedded questions: *who* is of type  $[[e \rightarrow [CSp \rightarrow CSp]] \rightarrow [CSp \rightarrow CSp]]$

Cf. also: Wh with indefinite interpretation, as in German, or engl. *somewhere*

(78) *Ed hat wen getroffen.* ‘Ed met someone’

$$(79) \text{ a. } p \vee q \quad \text{ b. } \lambda P \left[ \bigvee_{x \in WH} P(x) \right] (\lambda y[p(y)]) \quad \textit{who} \text{ is of type } [[e \rightarrow st] \rightarrow st]$$

## 9.5 Embedded root questions

Predicates like *wonder*, *ask*, *be interested in* are different:

- ◆ Root syntax possible:

(80) a. *Ed wondered who he met.*

b. % *Ed wondered who did he meet.* (Irish English, cf. McCloskey 2005)

- ◆ Discourse particles that occur in root questions:

(81) a. *Wen hat Ed denn getroffen?*

b. *Ed weiß, wen er \*denn getroffen hat.*

c. *Ed fragte sich, wen er denn getroffen hat / habe.*

Krifka (2015) argues that such questions are different:

- ◆ They may denote illocutionary acts
- ◆ This is possible, as ActPs are semantic objects, with a proper semantic type (CSD → CSD)

(82) *Ed [wondered [<sub>ActP</sub> who did he meet]]*

(83) x wonders Q, where Q: a question speech act

‘in the situation s referred to,

x is interested in the answer to the speech act Q performed in that situation’

## 10 Conclusion

Goals of the talk:

- ◆ Introduce a framework of conversation as development of common ground (cf. Stalnaker, Lewis, ...)
- ◆ Common grounds contain the commitments of interlocutors (Commitment States)
- ◆ New: Common grounds have a projective component (Commitment Spaces) that models common ground management
- ◆ Questions have an effect on the projective component: they restrict the legal development of the common ground (Krifka 2015)
- ◆ There are “monopolar” questions that project just one legal development; this can be used to model biased questions
- ◆ Proposals for polarity (yes/no) questions, alternative questions, constituent (wh-) questions, question tags.
- ◆ Explanation of biases of such questions
- ◆ Relation between root and embedded questions

The talk is based on:

- ◆ Krifka, Manfred. 2015. Bias in Commitment Space Semantics: Declarative questions, negated questions, and question tags. *Semantics and Linguistic Theory (SALT)* 25, 328-345. Washington, D.C.: LSA Open Journal Systems.

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