Conference "Sentence Types, Sentence Moods, and Illocutionary Force November 4-6. 2010 – ZAS Berlin	2. The argument of Bierwisch in a nutshell
Speech acts:	The same clause, without any ambiguity, can be used to express many different "communicative senses", depending on the "interactional setting". This argues against grammaticalized speech acts.
not truth-conditional, but part of semantics	(1) I'll be there before you. (Promise, Warning, Prediction,)
Manfred Krifka Institut für deutsche Sprache und Linguistik. Humboldt-Universität zu Berlin	However, explicit performatives seem to make the speech act explicit. So – are speech act dstinctions grammaticalized after all?
Zentrum für Ällgemeine Sprachwissenschaft (ZAS) Berlin krifka@rz.hu-berlin.de	(2) a. I promise you that I'll be there before you.b. I warn you that I'll be there before you.
It is an interesting and by no means trivial task to incorporate attitude specifiers into the formal apparatus of possible world semantics, both with respect to their semantic type and their denotation.	Suggestion: Explicit perfomatives, whenever uttered in the right circumstances, are self-verifying. So we can derive the expression of a speech act from its description . But this is not a grammaticalization either.
Bierwisch (1980)	 (3) A, to B: I promise you that I'll be there before you. => A has promised to B that he/she will be there before B.
1. Speecn acts: semantics or pragmatics: Background: Well-developed semantics that allows for the compositional derivation of truth	<i>promise</i> is a verb that can describe speech acts. The self-verifying nature of sentences like "I (hereby) promise you that p" allows us to use this utterance to express speech acts.
conditions of sentences with respect to contexts of utterances, given the meanings of lexical items and syntactic rules of combination of expressions (Montague 1972, Kaplan 1977).	Hence there is no need to analyze (1), when used as a promise, as either
Problem: The communicative acts that are performed with the help of such sentences?	 naving an underlying syntactic structure like (2)(a) with subsequent deletion (cf. Perfomative Hypothesis, Katz & Postal 1964, Ross 1970)
Two possible takes:	(4) <i>I promise you that</i> I'll be there before you.
 ➤ (A) Speech acts are to be treated within, or as an extension of, semantics. ➤ (B) Speech acts belong to an entirely different realm, pragmatics. 	➤ or involving an illocutionary operator that results in the same meaning as (2)(a) (cf. Lewis 1970, Vanderveken 1990).
Bierwisch (1980) opts for (B), calling (A) the "original sin of speech act theory". "Speech act theory is a part of the theory of communication () rather than a part of the theory of	(5) PROMISE(sp, ad) (I will be there before you.)(where 'I will be there before you' denotes a proposition a semantic object)
language." Speech act theory is as little an extension of the theory of language as "a putative theory of forest economy would be an extension of the biology of trees".	Arguments against underlying performative syntactic structure: Known.
I will argue for a version of (A), in particular:	Arguments against semantic illocutionary operators:
Speech acts (to be precise, <i>literal</i> speech acts) take part in the compositional rules of meaning assignment involving semantic expressions hence they have to be part of	Self-verifying verbs do not form a closed class – e.g., verbs like give up can be used for describing a speech act.
semantics (contra Bierwisch 1980).	(6) I give up.
➢ Speech acts cannot be captured within denotational, truth-conditional semantics (contra Lewis 1970, Vanderveken 1990, but in unison with Bierwisch 1980).	$\succ \text{ "Performative" verbs can be modified.}$
\blacktriangleright But we can give a non-truthconditional semantics for speech acts in which we can capture	We would end up with an open class of illocutionary operators.
follows the way Bierwisch (1980) proposed for explicit performatives.	
➤ What speakers <i>do with (literal) speech acts</i> indeed does not belong to semantics, but to pragmatics (in the spirit of Bierwisch 1980, but I happen to think that linguists should be interested in that as well).	

	 Parameters we assertions. (16) While John is a linguist, Mary is a philosopher. Parallel(ASSERT(sp.ad,s*)(John is a linguist), ASSERT(sp,ad,s*)(Mary is a philosopher)) 	 Similarly, for <i>m-case</i> clauses, which give a condition under which the speech act is relevant. (15) In case you need me, I'll be home all day. (Davison 1983) Purpose(PROMISE/ASSERT(sp,ad,s)(I'll be home all day)(you need me)) Similarly, while-clause may be not interpreted temporally, but expresses a parallell 	 The <i>for-c</i>lause is not interpreted as related to the propositional content (that I don't see her is not a reason for Jane not being here, perhaps rather vice versa). Rather, it specifies the grounds for asserting (Rhetorical Structure Theory; Asher & Lascarides 2003). (14) Jane isn't here, for I don't see her. (Ross 1970) Justification(ASSERT(sp,ad,s)(Jane isn't here), I don't see her) 	(12) vs[you want a discutt in $s \rightarrow ASSEK1(sp,ad,s)$ (there are some on the sideboard in s)] (13) ASSERT(sp,ad,s) Vs'[you want biscuit in s' \rightarrow there are some on the sideboard in s'] <u>Discourse connectives</u>	The <i>if-</i> clause is not interpreted with respect to the propositional content:. (11) <i>If you want biscuits, there are some on the sideboard.</i> Suggestion (Dummett 1973, Bach & Harnish 1979,): Quantification into speech acts. Cf. valid reading (12) vs. odd reading (13).	(10) Du bist <u>bitte</u> vor mir da. 'You be there before me, please.'	 <u>Not a real problem: Lexical IFIDs</u>. There are lexical illocutionary force indicating devices that cannot be interpreted as part of the propositional content. But these are linguistic expressions that do not have a denotational meaning, but just regulate the pragmatic use of utterances. They may have historically derived from expressions having a semantic meaning. (9) <u>Please</u>, be there before me. 	3. Problems with a purely pragmatic view of speech acts There are problems with this radically pragmatic view of speech acts, as there are expressions that appear to have semantic meaning that interact with what appear to be operators that express speech acts (cf. also Davison 1983).
Analysis in Sauerland (2004): 'Make it the case that I know your name again'. Assuming that question operators are complex (here, requests for an assertion):	<u>Quantificational particles: repetitives</u> The particle <i>wieder</i> 'again' is not interpreted with respect to the propositional content. (23) Wie heißen Sie wieder? 'What's your name again?'	 (22) a. <i>Nur mal die RECHTE Seite streichen</i>. (Reading b). b. <i>Mal nur die RECHTE Seite streichen</i>. (Reading a) The difference is difficult to handle for a pragmatic approach which does not refer to the particular syntactic properties of the expression. 	 (21) Nur die RECHTE Seite streichen! 'You are required to paint only the right side.' This form-related distinction makes it plausible that there is a linguistic operator in imperatives that interacts with scopal particles, which is lacking in bare infinitives in directive readings. This operator may be realized in the finiteness of the verb (Truckenbrodt 2006), or in speechact-related particles: 	 a. ORDER(sp, ad) (ad paints only one side) b. only ORDER(sp, ad) (ad paints only one side) Reading (b) is absent with bare infinitives in directive readings: 	 Repp, Andreas Haida, pers. comm.). (20) Streich nur die RECHTE Seite! 'Paint only the right side!' a. 'You are required to paint only the right side.' b. 'You are only required to paint the right side.' 	Quantificational particles: exclusives	 (11) Frankly, 1 aon 1 like your new boyfriena. Frank(ASSERT(sp,ad,s)(I don't like your new boy friend.)) This is the same interpretation we would get with explicit performatives: (18) <i>I tell you quite frankly that I don't like your new boyfriend.</i> There is also a speechact-related reading of <i>gladly</i> in commissives. In one reading (19) means <i>I gladly promise to help you move</i>, not <i>I promise that I gladly halp you move.</i> (19) <i>I gladly help you move.</i> 	<u>Speechact-related adverbials (Davison 1973, Sadock 1974)</u> The adverbial <i>frankly</i> is not interpreded as related to the propositional content, but relates to politeness norms that govern the performance of speech acts. It signals that a speech act violated politeness rules in virtue of other conversational goals.

	 (29) Did John arrive, and did Mary leave? o.k. as conjoined question: QUEST({John arrived, ¬John arrived) & QUEST({Mary arrived, ¬Mary arrived}) 	 But the combinatory potential of speech acts is limited: ➤ Conjunction, but no disjunction of speech acts. 	(28) If you don't want to get a cold, shut the window. If (you don't want to get a cold) (DIRECTIVE(you shut the window))	Such representations are of type t of truth values, hence participate in the Boolean algebra of standard semantics. We can conjoin, disjoin, negate, and quantify over speech acts. Example:	 (21) a. Snut the window? DIRECTIVE[.](you shut the window.) b. Please shut the window! DIRECTIVE[please](you shut the window.) 	Glossing over details, and concentrating on just two parameters (illocutionary point, mode of achievement):	Vanderveken assumes illocutionary operators F that are determined by parameters like illocutionary point (representatives, directives etc.), Mode of achievement, Strength etc., and that are applied to propositions.	Considering such and other intractions of expressions with semantic meaning and illocutionary acts, there is a temptation to develop a truth-conditional semantic reconstruction of speech acts (cf. e.g. Vanderveken (1990)).	4. Speech acts as propositions?	 (26) What grade does every student deserve? a. 'What grade is such that every student deserves it?' b. 'For every student x, what grade does x descrve?' 	Karttunen (1977) observes an ambiguity of questions containing a universal quantifier.	If <i>wieder</i> interacts scopally in syntax (c-command), we would have to assume that the illocutionary operator is complex (Request(Act(Again(Known(Φ))))) Quantification into questions	REQUEST(sp, ad) (ad acts such that (again (sp knows what is ad's name)))	 (24) Wie heißen Sie? QUEST(sp, ad) ('what is your name') = REQUEST(sp, ad) (ad acts such that (sp knows what is ad's name)) (25) Wie heißen Sie wieder?
This is reminiscent of dynamic semantics (e.g., Heim 1983) in which propositions are interpreted as devices to change the common ground of speaker and hearer. But now, it is no the common ground that is changed, but the world itself.	is evaluated at an input index and yields an output index that is identical to it with the possible difference that at the output index, the proposition <i>I congratulated you</i> is true.	(35) <i>I constatulate vou</i>	 Propositions are evaluated with respect to a model and a particular world-time index. They describe the word, and do not change it. Performatives do not describe the world, but change it. Formally, they are functions that 	Szabolcsi (1982), "Model-theoretic semantics of performatives":	But then speech acts do not form a Boolean algrebra.5. Speech acts do not describe, but change the world!	As speech acts can be conjoined, but not disjoined, we explain why there is no quantifying into speech acts with non-universal quantifiers.	 QUEST(what grade g: s1 deserves g) & QUEST(what grade g: s2 deserves g)] V QUEST(what grade g: s1 deserves g) & QUEST(what grade g: s3 deserves g)] V QUEST(what grade g: s2 deserves g) & QUEST(what grade g: s3 deserves g)] 	QUEST(what grade g: student _n deserves g) Non-universal quantifiers can only be reduced to clauses with other Boolesan operators, e.g (32) when three students are present.	QUEST(what grade g: student ₂ deserves g) &	Notice that universal quantifiers are generalized conjunctions, hence (31) has the reading (if there are n students): (33) OI IEST(what oracle or student, deserves of &	(32) What grade do most students deserve? only a: QUEST(what grade g: most x[student(x)][x deserves g])	 (31) What grade does every student deserve? a. QUEST(what grade g: ∀x[student(x)][x deserves g]) b. ∀x[student(x)] [QUEST(what grade g: x deserves g)] 	\blacktriangleright Quantification into questions only with universal quantifiers (Krifka 2001).	 (30) Did John arrive, or did Mary leave? o.k. only as alternative question: QUEST({John arrived, Mary arrived}) or as rhetorical question, with the implied answer "no" for both questions.

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Manfred Krifka — Zentrum für Allgemeine Sprachwissenschaft, Berlin & Institut für deutsche Sprache und Ling	➤ performatives are not particular speech acts or speech act "tokens", like a particular event e that satisfies assert(e,sp,ad,i)(Φ) – rather, they are speech act types, something close to the "potential" speech acts of Siegel (2006).	 performatives are not propositions (functions from indices to truth values), but functions from indices to indices. 	(43) Did John shut the window? (uttered by sp to ad) λi ui'∃e[i[e]i' Λ quest(e, sp, ad, i')({John shut the window, ¬John shut the window)}] Notice that:	 (42) Shut the window! (uttered by sp to ad) λi u'∃e[i[e]i' ∧ direct(e, sp, ad, i')(sp shut the window)] 	This changes an input index i to the output index i' so that i' is like i except that in i' there is an event e, an event in which sp asserts to ad that John shut the window.	 (41) John shut the window. (uttered by sp to ad) λi u'∃e[i[e]i' ∧ assert(e, sp, ad, i')(John shut the window)] 	(40) We write i [e]i' for: i' is identical to i except that in i' the event e exists, which does not exist in i. We understand e to be a punctual event without temporal extension.	From these descriptive speech act predicates we can derive performatives as functions from indices to indices.	(39) quest(e, sp, ad, i)($\underline{\Phi}$) (where $\underline{\Phi}$ is a set of propositions) 'e is an event in which sp obliges ad to assert to sp the true propositions in $\underline{\Phi}$ '	(38) direct(e, sp, ad, i)(Φ) (where Φ is a proposition) 'e is an event in which sp obliges ad to make Φ true in i'	'e is an event in i inwhich sp causes/obliges ad to believe Φ is true in i' 'e is an event in i in which sp is taking on the commitment to give evidence for Φ '	(37) assert(e, sp, ad, i)(Φ) (where Φ) is a proposition) 'e is an event in i of asserting by sp to ad in the world i that Φ '.	Given a semantic model with world/time indices (i, i' etc.) and events (e, e' etc.). Assume descriptive predicates that characterize events as belonging to certain speech acts:	Speech acts are a particular type of events. Typically, they record a change of public commitments of participants in a conversation of which the participants must be aware.	Sketch of an implementation	In any case, the change of the world induced by sucessful speech acts must be part of a the common ground, as the participants of a conversation must be aware of this change.	representation theory. DRT representations stand for the common ground, but are interpreted with respect to a model. Hence speech acts might be seen as changing the worlds of a model.	(30) $C + John arrived = C \{1 John arrived in 1\}$ It is also tominished to C Deasis & Traine (1007) who integrate speech act events in discourse
uistik, Humboldt-Universität zu Berlin — krifka@rz.hu-berlin.de 4		operation of disjunction. Hence we predict that quantification into questions is not possible with non-universal quantifiers, as in <i>What grade do most students deserve?</i>	x∈student We can define the operation of conjunction on speech act types, but we cannot define an	$\&$ λ_i ii' $\exists e[i]e]i' \wedge quest(e,sp,ad,i')(\{x \text{ deserves } g \mid grade(g)\})]$	Universal quantification as generalized dynamic conjunction:	(48) λi u'∃e∃e'[i[e⊔e']i' ∧ quest(e,sp,ad,i')({John deserves g grade(g)}) ∧ quest(e',sp,ad,i')({Mary deserves g grade(g)})]	if i[e]i' and i'[e']i", then i[e⊔e']i", where e⊔e' is the sum of e and e'. Simplified representation:	 if i[e]i' and i'[e']i", and i[e']i'" and i''[e]i''', then i" = i'''. ➢ Introducing sum formation for events, we can claim: 	 ∧ quest(e,sp,ad)({Mary got g grade(g)})] > Arguably, the order of this conjunction does not matter. 	λi u'∃e[i[e]i' ∧ quest(e,sp,ad,i')({Mary got g grade(g)})] = λi u'∃e[u'∃e[i[e]i' ∧ quest(e,sp,ad)({John got g grade(g)})] [e] i'	 (47) Which grade did John get, and which grade did Mary get? λi u'∃e[i[e]i' ∧ quest(e,sp,ad,i')({John got g grade(g)})] & 	(46) A & A' = $\lambda_1 [A'(A(1))]$, where A, A' are speech act types. For example:	There is a natural notion of conjunction of speech acts types – functional composition:	<u>Quantification into questions</u> Cf also Krifka (2001) where sneech acts where analyzed as changes of commitment states	(45) ASSERT = $\lambda p \lambda x \lambda y \lambda i u' \exists e[i[e]i' \land assert(e, x, y)(p)]$	Illocutionary operators can be analyzed as operators that take a sentence radical Φ, a speaker, and a hearer, and create a speech act:	(44) John shut the window. (uttered by sp to ad, at index i*): i'∃e[i*[e]i' ∧ assert(e, sp, ad, i')(John shut the window)]	from i* insofar as a speech act of the specified type has happened.

 (54) Frankly, I don't like your new boyfriend. λi u' ∃e[i[e]i' Λ frankly(assert)(e, sp, ad, if')(sp doesn't like ad's new boyfriend)] If this speech act type is applied to an index i, the resulting event can be described by I frankly asserted that I didn't like your new boyfriend. We can also analyze such modifiers as modifiers of speech act types, as the input index and the output index differ only in the existence of one particular communicative event e, and the modifier can be thought of as applying to that event: (55) frankly = λSλi u'[i'=S(i) Λ frank(te [i[e]i')] 	 (53) I gladly promise to help you move. PERFORM(gladly(promise))(sp, ad)(I help you move])) = λi u'∃e[i[e]i' ∧ gladly(promise)(e, sp, ad)(sp helps ad move)] We also can explain how illocutionary operators can be modified, by assuming that modifiers, like <i>frankly</i>, apply to the underlying descriptive predicate: 	<u>Modifications of speech act verbs and speech acts</u> The performative use of modified speech act verbs can be handled as follows. Notice that the modifier applies to the descriptive use and has its regular semantic interpretation.	 This operation can apply to verbs that do not even denote speech acts, turning them into speech acts. (52) <i>I give up</i>. (uttered by sp): PERFORM(give-up)(sp,ad) = λi u' ∃e[i[e]i' ∧ give-up(e, sp, ad, i')] 	Notice that α applies to events that are located in time, but PERFORM(α) itself does not apply to events – it rather changes indices by introducing events. This captures the fact that, speech act verbs, in their performative use, are not tensed (they have default present tense), and do not have progressive aspect.	We can define an operator PERFORM that applies to descriptive speech act predicates α , changing them into performatives: (51) PERFORM(α)(sp, ad)(Φ) = λ i u' \exists e[i[e]i' $\land \alpha$ (e, sp, ad, i')(Φ)]	 (50) I promise that the money will be there. λi u'∃e[i[e]i' ∧ promise(e, sp, ad, i')(the money will be there)], where promise(e, sp, ad, i')(Φ) iff e is an event in i' in which sp commits himself to ad to make Φ true. 	 6. Application to other cases <u>Descriptive and performative uses of speech-act predicates</u> We have seen how speech act descriptions, like assert(e, sp, ad, i), can be turned into performatives. This can be generally done with verbs denoting speech acts. This captures the idea of "From description to expression":
 (62) History After performative A: Asimple performative A applied to H chooses the development from the root of H that consists of adding the communicative event described by the performative (aboe, right). 	 Possible solution: Interpretation with respect to "histories" in a model with branching time. (61) i < i' iff i and i' are time points in the same history, and i is before i'. Interpretation is with respect to a set of histories H that start with a common root r(H) and outlines the possible courses of events. Some moves represent developments in the physical world, some represent possible speech acts (creation of communicative events) (below, left). 	The input index i is changed only if the condition holds. This is problematic for cases like the following: (60) If I get lost, who should I call?	 One way of dealing with conditionalized speech acts: (59) In case you're hungry, there are cookies. \lambda i u' [if you are hungry in i, then [i[e]i' \Lambda assert(e,sp,ad,i')(there are cookies)], else i=i'] 	7. Speech Acts and Histories <u>Conditional speech acts</u>	Krifka (2001): <i>wissen/know</i> embed sentence radical of question (a set opf propositions), <i>wissen wollen / wonder</i> embeds a question speech act; can be captured in the theory proposed here, as speech acts are semantic objects (functions from indices to indices).	 (57) John weiß [wer (denn) gekommen ist]. (58) John will wissen, [wer (denn) gekommen ist] (58) 'John wonders who came.' 	 (56) frankly(λi u' ∃e[i[e]i' Λ assert(e,sp,ad,i')(sp doesn't like ad's new boyfriend)] = λi u' ∃e[i[e]i' Λ assert(e,sp,ad,i')(sp doesn't like ad's new boyfriend) Λ frank(e)] Here, frank(e), where e is a conversational event, means that the speaker of e performs e in a frank manner with respect to the addressee. Embedding of speech acts

(63) H + A = {i ∈ H | A(root(H)) < i}
 The rooted history, updated with the performative A, consists of all indices i in H that follow the root of H updated with A.

Conditionalized speech acts identify all indices in a set of histories for which the condition holds, and state that only those continuations are ruled in in which the index in which the condition holds is followed by the performative; others are truncated.

- (64) H + If I get lost, who should I call?
- $= \{i \in H \mid \forall i' \in H[sp \text{ get lost in } H \rightarrow \forall i''[i' < i'' \rightarrow QUEST(who should I call)(i') \le i'']]\}$



Denegation of speech acts

Removal of the speech act in question from future development.

- (65) I don't promise to come.
- (66) $H + \sim A = \{i \in H \mid \neg \exists i' \in H[A(i') < i]\}$



Exclusive particles quantifying over speech acts

(67) Streich nur die RECHTE Seite!
 H + DIRECT(die rechte Seite streichen) + ~ DIRECT(die linke Seite streichen)
 Direktiv, die rechte Seite zu streichen, und Denegation des Direktivs, die linke Seite zu streichen.

General rule: focus speech act is performed, alternative speech acts undergo denial.

(68)
$$H + (A, \underline{A}) = H + A + \mathcal{X} \sim a$$

 $a \in \underline{A}, a \neq A$

The speech act A is performed, all alternative speech acts are denegated.

8. Conclusion

We need speech acts (speech act types) as semantic objects, and we can accomodate for them.

To be done: A lot. For example, the communicative events talked about here are associated with expression events (articulation of speech sounds) which explains, among others, therole of *hereby*.